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GP37499/9102
520.30414V22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: KATO, et al.

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Serial No.: 09/766,596

JUL 16 2002

Filed: January 23, 2001

TECHNOLOGY CENTER R3700

For: VACUUM PROCESSING APPARATUS AND OPERATING
METHOD THEREFOR

Group: 3749

INFORMATION DISCLOSURE STATEMENT UNDER
37 CFR 1.97 AND 1.98

Assistant Commissioner For Patents

Washington, D.C. 20231

July 15, 2002

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an English translation of an Office Action from the Japanese Patent Office, in connection with a Japanese patent application corresponding to the above-identified application. Also enclosed is a copy of the document cited in this Office Action (that is, Japanese Patent Document No. 1-170013), as well as an English translation of this Japanese Patent Document. Further enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed Japanese Patent document.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Clearly, the requirements of 37 CFR 1.97(b) are satisfied.

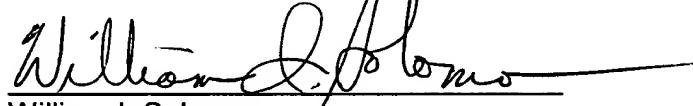
While the enclosed Japanese Patent Document is not in the English language, it is respectfully submitted that the requirements of 37 CFR §1.98(a)(3) are satisfied by the enclosed English translation of this Japanese Patent Document.

In view of all of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with the present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 520.30414V22), and please credit any excess fees to such deposit account.

Respectfully submitted,

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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO. 520.30414V22	SERIAL NO. 09/766,596
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT KATO, et al.		
		FILING DATE January 23, 2001	GROUP 3749	

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
AM	1-170013	6-5-89	Japan			X	
AN							
AO							
AP							
AQ							
AR							
AS							
AT							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AU	Japanese Office Action dated April 16, 2002
AV	
AW	
AX	
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AZ	

Examiner	Date Considered
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